

Brokerage Bank Account Authorization



Complete this form to add your bank account to your American Century Brokerage account for electronic funds transfers. Transfers are processed through the Automated Clearing House (ACH) system.

- We may request supporting documentation, such as a letter from the bank, trust documents, etc. Typically, this occurs when the registered owners of the bank account and the brokerage account are not an exact match or if the bank account is registered to an entity.
- For UGMA/UTMAs, investment/redemption services may be limited if the custodian is not listed as an owner on the bank account.
- Foreign bank accounts are not allowed.
- **A redemption to a new bank account is not allowed for the first 7 calendar days.**

1 American Century Brokerage Account Information

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Account number(s). You may list multiple accounts as long as they have the same primary taxable owner (e.g., your IRA and a joint account for which you are the taxable owner). Please use a separate form for accounts under different SSNs or Tax IDs.

Taxable owner's full name. For a UGMA/UTMA, enter the minor's name. For a trust/entity account, enter trust/entity name.

U.S. Social Security number Date of birth (mm-dd-yyyy)

Street address (No P.O. or Private Mail Boxes permitted; address is for identification purposes only)

City State ZIP

Telephone: Cell Daytime Evening Email address

Co-owner's full name (if applicable). For an UTMA/UGMA, enter the custodian's name. For a trust/entity account, enter the name(s) of the trustees or authorized signers.

2 Standing Bank Instructions

Choose one: Set up new bank instructions Replace existing bank instructions

Your bank account will be added to your brokerage account as standing instructions for one-time and periodic/recurring transactions. Please select the type(s) of transactions you want to authorize for this bank account. If you do not make a selection, we will default to Option C, except for certain employer-sponsored plan accounts.

- Option A: Standing instructions for contributions INTO my brokerage account ONLY.
- Option B: Standing instructions for distributions OUT OF my brokerage account ONLY.
- Option C: Standing instructions for transfers INTO and OUT of my brokerage account.

3 Bank Account Information

Please enter information exactly as shown on the bank account. If an authorized signer's name is not shown in the registration AND you will be making transfers INTO your brokerage account, please attach a letter from the bank listing all owners/signers and their authority (individual or joint).

Bank name

Checking

Savings

Bank routing number for ACH (ABA number)

Bank account number (DDA number)

Bank Account Registration — Individual or Joint Bank Account

Account Owner #1 - First name

Middle initial

Last name (include Suffix, if applicable)

Account Owner #2 - First name

Middle initial

Last name (include Suffix, if applicable)

Bank Account Registration — Trust or Entity Bank Account

Trust or entity name as shown in the bank account registration

Trustee/Authorized Signer #1 - First name

Middle initial

Last name (include Suffix, if applicable)

Trustee/Authorized Signer #2 - First name

Middle initial

Last name (include Suffix, if applicable)

Is the bank account title and ownership the same as the brokerage account?

Yes

No

If they are not the same, please state the relationship between the parties.

Relationship

4 Signatures

Please review the following terms and conditions:

- I/We hereby authorize Pershing LLC ("Pershing") and/or American Century Brokerage to initiate credit/debit entries to the bank account indicated on this form, in accordance with the standing instructions provided on this form. I/We further authorize Pershing and/or American Century Brokerage to initiate credit/debit entries to correct any erroneous entries. I/We authorize the bank to credit/debit entries to such bank account.
- I/We understand that this authority remains in full force and effect until Pershing, through American Century Brokerage, has received notification of its termination from me (or either of us) in such time and manner as to afford Pershing and the bank a reasonable opportunity to act.
- I/We represent and warrant that each of us is an owner of the bank account and/or that each of us has full authority to cause movement of funds between the brokerage account and the bank account. If I am executing this agreement on behalf of joint bank account owners, I represent that I have authority to act on behalf of and bind all of the joint bank account owners, and I agree that I am executing on behalf of all of the joint account owners. I acknowledge that once this agreement is executed, all joint account owners can use this service even if only one bank account owner signed the agreement. I/We understand that Pershing is relying upon this representation in agreeing to permit the movement of funds between the brokerage account and the bank account.

Signatures (continued)

- In consideration of American Century Brokerage, its employees or agents providing the services established on this form, I/we agree to defend, hold harmless and indemnify American Century Brokerage, its officers, agents, employees, affiliates and successors from all losses, claims, expenses and liabilities that any of them may suffer arising from, or as a result of, American Century Brokerage's acceptance of transaction instructions through these services.
- I/We understand that neither American Century Brokerage nor any affiliated companies shall be responsible or liable for any damages related to online service including, but not limited to, those caused by theft, unauthorized access, failure of electronic or mechanical equipment, communications line failure, telephone or interconnectivity problems, or other occurrences beyond their control.
- I/We hereby certify, to the best of my/our knowledge, that the information provided on this form is complete and correct. I/We agree to notify American Century Brokerage if this information changes.

Certify Your Tax ID

If you'd like more information about certifying your taxpayer identification number, please review the General Instructions on IRS Form W-9, which can be found at www.irs.gov.

FATCA Reporting - If you are submitting this form for an account you hold in the United States, you may leave the second field below blank.

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting.

Exemptions Codes (Codes apply only to certain entities, not individuals that are exempt from reporting under FATCA)

Exemptions (see instructions in IRS Form W-9):

Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ *(Applies to accounts maintained outside the U.S.)*

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number, and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person.
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

All brokerage account and bank account owners must sign below. Exception: One bank account owner may sign on behalf of all bank account owners, provided that person is also an owner of the brokerage account.

Signature	Printed Name	Date signed
Signature	Printed Name	Date signed
Signature	Printed Name	Date signed
Signature	Printed Name	Date signed
Signature	Printed Name	Date signed