

# Complaints Management Policy

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American Century Investment Management (AU) Pty  
Limited

Company:	American Century Investment Management (AU) Pty Limited
ACN:	623 758 672
AFSL:	518417
Date Updated:	September 2024

**VERSION CONTROL**

<b>Version Number</b>	<b>Date Updated</b>	<b>Notes</b>
1	August 2022	Original document prepared and finalised in consultation with Sophie Grace Pty Ltd.
2	September 2024	Annual review conducted and changes implemented in consultation with Sophie Grace Pty Ltd.

## 1. INTRODUCTION

- 1.1 American Century Investment Management (AU) Pty Limited (“**ACIM (AU), we or us**”) is committed to effective and efficient complaints management and to fair and transparent dealings in the financial marketplace
- 1.2 A complaint is an expression of dissatisfaction made to or about an organisation, related to its products, services, staff or the handling of a complaint, where a response or resolution is explicitly or implicitly expected or legally required.

## 2. OUR COMPLAINTS MANAGEMENT PROCESS

- 2.1 We take your feedback seriously and will work proactively to investigate and resolve your complaint. If you have a complaint, please contact us by any of the following methods:
  - (a) Email: [LG-risk and fraud control team@americancentury.com](mailto:LG-risk_and_fraud_control_team@americancentury.com);
  - (b) Phone: 61 2 8823 3404
  - (c) Post: Governor Philip Tower, Level 36, 1 Farrer Place, Sydney NSW 2000.
- 2.2 Any material relating to ACIM (AU)’s Internal Dispute Resolution (“**IDR**”) process will be provided to you free of charge.
- 2.3 We will collect certain information from you, including:
  - (a) Your name;
  - (b) Your contact details;
  - (c) How you would prefer to be contacted;
  - (d) A description of your complaint; and
  - (e) How you would like the complaint resolved.
- 2.4 We will acknowledge your complaint, generally within twenty (20) days and give you the contact details of the person responsible for dealing with your complaint.
- 2.5 The person responsible for dealing with your complaint will commence their investigation and may require further details from you. Upon completion of their investigation, the person responsible for dealing with your complaint will contact you with an IDR response. This will provide you with information about:
  - (a) the final outcome of your complaint at IDR; and
  - (b) information about other remedies that may be available to you.

## 3. TIMEFRAME FOR RESOLVING COMPLAINTS

- 3.1 We endeavour to resolve all complaints as quickly as practicable. Many complaints can be resolved within days or on the spot. We will keep you informed in relation to your dispute and will provide you with an IDR response within forty-five (45) calendar days of receiving your complaint.

#### **4. ACCESSIBILITY SERVICES**

- 4.1 We take our commitment to provide accessible services to customers seriously.
- 4.2 If you are deaf or have a hearing or speech impairment, you can contact us on the [National Relay Service](#), a government initiative that offers an Australia-wide phone service for people who are deaf or have a hearing or speech impairment. It's available at no additional charge:
- (a) Talk to text users, please call 133 677 and then ask for 61 2 8823 3404;
  - (b) Speak to listen users, please call 1300 555 727 and then ask for 61 2 8823 3404; or
  - (c) Internet relay users, please [connect to the NRS](#) and then ask for 61 2 8823 3404.
- 4.3 If you require this Policy in another language, please contact us at the details below.

#### **5. CONTACT US**

- 5.1 If you have any questions or would like further information about our complaints handling process, please contact us by:
- (a) Email: [LG-risk and fraud control team@americancentury.com](mailto:LG-risk_and_fraud_control_team@americancentury.com);
  - (b) Phone: 61 2 8823 3404; or
  - (c) Post: Governor Philip Tower, Level 36, 1 Farrer Place, Sydney NSW 2000.