

# Session Guide



One of the best ways to make the most of an appointment with a financial professional is to take a few minutes to think through what you want to accomplish. Use this form to write down ideas and prioritize the topics that you want to cover.

Discussion Topic	Response
<p>What are my primary financial goals? Some things to consider are listed below and you can cover more than one.</p> <ul style="list-style-type: none"> <li>- Retirement</li> <li>- Other goals</li> <li>- College savings</li> <li>- Estate Issues</li> <li>- Managing Taxes</li> </ul>	
<p>What are my concerns/questions about my investment portfolio?</p> <ul style="list-style-type: none"> <li>- Market conditions/economy</li> <li>- Risk (too much or too little)</li> <li>- Income</li> <li>- More growth</li> <li>- Taxes</li> <li>- Retirement plan from a previous employer that I need to do something about.</li> <li>- Do I have the right investments for my goals?</li> </ul>	
<p>Have I had or do I anticipate a life event that could impact my finances?</p> <ul style="list-style-type: none"> <li>- Marriage or divorce</li> <li>- Family addition (birth, adoption, guardianship)</li> <li>- Health concerns or death</li> <li>- Job change or loss</li> <li>- Caring/planning for an aging/disabled parent or child</li> </ul>	
<p>For planning purposes, think about the following important aspects of a financial plan:</p> <ul style="list-style-type: none"> <li>- Do I have a budget?</li> <li>- Do I have an emergency fund?</li> <li>- How far along am I in saving for retirement?</li> <li>- Do I have a plan for income in retirement?</li> <li>- How far along am I on saving for a child's education?</li> <li>- Do I have an estate plan?</li> </ul>	
<p>What is the number one thing I hope to accomplish out of my session.</p>	

## Appointment Checklist

Gather these in advance to have during your session.

- Latest Tax Return
- Estate Documents
- Financial Statements from:
- List of Assets/Liabilities
- Social Security Benefits Statement
- Banks
- Investments
- Retirement Accounts – IRAs, 401(k), etc.